

# MAX PARRY

## Banking and Securities Industry IT Specialist

### Skills

Project Management

Software Selection

Relationship Management

Process Mapping

Change Management

Business Analysis

Vendor Liaison

Implementation

Business Process Re-engineering

Negotiation and Mediation

### Structured Methods

PRINCE2

SSADM (LBMS)

ITIL

JSP (SDM)

### Specialised Business Knowledge

Global Custody

Corporate Actions

Straight Through Processing

Prime Brokerage

Trading Systems

Economic and Monetary Union

Settlement

Private Banking

Securities Lending

Asset Management

Registration

### Professional Qualifications

Member of the Institute for the Management of Information Systems

Centre for Effective Dispute Resolution (CEDR) Accredited Mediator

PRINCE2 Registered Practitioner



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# INTRODUCTION

Max has been in Information Technology since 1966, and has specialised in the banking and securities industries since 1975. In this time he has seen many changes in business practice, and many improvements in IT technology, methods, products and tools have appeared. He works hard to ensure he keeps abreast of developments in both business and technology.

Max has worked successfully at all levels from programmer to project manager. He has designed training programmes, as well as producing and presenting courses. In several of his assignments he has been responsible for managing relationships with external organisations, both clients and suppliers.

Max has a good knowledge of the global custody, securities lending and prime brokerage businesses. In particular, Max has an excellent knowledge of settlement and corporate actions.

Recent assignments include:

- ?? Interim project manager for a Private Bank. Managing a project to select a global custodian. Max stood in for the business project manager, who was on long-term sick leave. He initiated the project and drove it through to the selection of a custodian against a very aggressive time scale.
- ?? Project manager for a major Dutch bank. He was responsible for enhancing the bank's international standard application platforms for securities and private banking. This involved both user requested additional functionality and strategic enhancements, including preparation for Economic and Monetary Union (EMU).
- ?? Project manager for a leading Swiss bank. He managed the development and implementation of a prime brokerage system. This included spending time in New York managing the relationship with the principal software vendor.
- ?? Project manager for a global custodian. He managed a series of successful projects including the implementation of a securities lending system (from invitation to tender through to implementation), the definition of CREST requirements and the introduction of rolling settlement.

Max is mobile - he has worked in Amsterdam, Cairo, New York, Zürich and several United Kingdom locations.

Max has a solid history of achievement. The number of clients who repeatedly use his services demonstrates this. Satisfied clients include a private bank, several investment banks, a global custodian, a clearing bank, a merchant bank, two settlement centres and two computer manufacturers.

A full career history can be supplied on request.

**Max can provide excellent references.**

# RECENT ASSIGNMENTS

**February 2002 to August 2002**

**Centrix**

Defining the end-to-end process to be followed when deploying applications on a new corporate standard desktop based on thin client technology.

The scope included all steps, both technical and non-technical, from initial user request and subsequent survey through to deployment:

- Initial customer surveys and checklists;
- Technical research, including vendor liaison;
- Platform selection;
- Application customisation;
- System and acceptance testing;
- Implementation and handover;
- Software licensing;
- Tariff.

**October 2001 to December 2001**

**Tribune Business Systems**

Researching, recording and analysing the IT BCP requirements of the business banking division of a major bank. This involved liaising with over seventy departments. Max initially developed questionnaires and spreadsheets for capturing and manipulating the requirements data. These were piloted on a few departments, refined as a result of that experience and then used for the remaining departments.

**July 2001 to October 2001**

**Tribune Business Systems**

Defining the operational processes and procedures for the International Payments Centre of a major clearing bank. This assignment involved researching the operation, analysing the requirements and defining processes and procedures in this high value transaction environment.

**November 2000 to April 2001**

**Barclays Private Bank**

Deputising for a project manager who was on sick leave, managing the selection of, and migration to, a global custodian. Took the project from inception, through production of a Request For Proposal (RFP), scoring of responses to the RFP, recommending the choice of custodian, and planning the migration to the new custodian. The success of this project depended heavily on Max's knowledge of the global custody, especially settlement and corporate actions processing.

**April 2000 to November 2000**

**Tribune Business Systems**

Interim Change Manager for division of a major clearing bank. Responsible for the change management process. Chaired the weekly Change Management Meeting. Increased the visibility of change management and introduced new procedures that contributed to a reduction of emergency changes by 40% and change related problems by 30%.

**December 1999 to March 2000**

**Tribune Business Systems**

Managing the implementation of the infrastructure changes required to support the re-engineering of the operations for the Egyptian division of a multi-national bank. The requirement was to provide file and print sharing services via a LAN, a gateway to the bank's WAN, mail services (both internal and internet), a standard corporate desktop and access to corporate applications.

## **RECENT ASSIGNMENTS (continued)**

**March 1997 to April 1998**

**ABN AMRO**

Max acted as functional owner of the bank's international platforms for securities and private banking, heading two teams of business analysts. His responsibilities were to own the two platforms on behalf of the international user communities, and to commission, accept and implement enhancements from the development division.

When he joined the private banking platform was functioning effectively and required little more than a watching brief. However the securities platform needed careful handling. This was reflected in the low level of user satisfaction and the extensive wish list of functional enhancements. His first task was to evaluate the securities platform and produce a report recommending the future strategy. The report was published and the recommendations adopted by senior management. Max facilitated a two-day user conference attended by representatives of twelve countries. At this conference he presented the report as the way forward.

The strategy was built around two concurrent initiatives. A program of quick wins was launched. This improved user perception of the product by addressing several shortcomings, mainly in the corporate actions area. A longer term development plan was started. This resulted in the implementation of several major functional enhancements including extending the range of S.W.I.F.T. messages supported, introducing straight through processing, and supporting bulk trades.

**November 1995 to February 1997**

**UBS**

The project was to extend a securities borrowing and lending system to include support for prime brokerage. Initially Max's role was to liase between the user community and a software house. The task was more difficult than normal because the base securities borrowing and lending system was itself still under development. The user community was in Zurich and the software house in New York. Max was based in New York, although he spent some time in Zurich.

The role involved:

- Specification of user requirements;
- Managing the relationship with the software vendor;
- Monitoring and prioritising vendor development, including establishing benchmarks and success criteria;
- Balancing scope, cost and timescales.

Following completion of this liaison role Max moved to Zurich to manage the migration to the new system. This included planning and managing integration and user acceptance testing, operational procedures, user training and data conversion.

## **RECENT ASSIGNMENTS (continued)**

**February 1993 to October 1995**

**Barclays Global Securities Services**

At this global custodian Max managed several projects.

The most significant was to select, customise and implement a securities lending system. He worked closely with the head of the Securities Lending Unit. Max produced the initial business case, issued the invitation to tender, evaluated several potential products and recommended the selection of Global One. Max managed the relationships with the vendor of Global One, the outsourced network suppliers, and the outsourced computer operations organisation. He also led the in-house development team that implemented the associated changes to the in house custody system.

Other projects Max managed included the introduction of rolling settlement; the migration of gilt settlement processing from a stand-alone system to the core custody system; early recognition and processing of trades alleged against clients via INS.